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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 66250(70301) |
| INTERNATIONAL APPLICATION NO. PCT/EP2005/002993 | INTERNATIONAL FILING DATE 21 March 2005 | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/593664 PRIORITY DATE CLAIMED 22 March 2004 |
| TITLE OF INVENTION SWIMMING AID DEVICE | | |
| APPLICANT(S) FOR DO/EO/US Jorg Meissner | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | |
| 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | |
| 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | |
| 4. <input type="checkbox"/> The US has been elected (Article 31). | | |
| 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | |
| a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). | | |
| b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. | | |
| c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). | | |
| 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | |
| a. <input checked="" type="checkbox"/> is attached hereto. | | |
| b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). | | |
| 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | |
| a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). | | |
| b. <input type="checkbox"/> have been communicated by the International Bureau. | | |
| c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. | | |
| d. <input checked="" type="checkbox"/> have not been made and will not be made. | | |
| 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | |
| 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | |
| 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | |
| 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | |
| 13. <input checked="" type="checkbox"/> A preliminary amendment. | | |
| 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. | | |
| 15. <input type="checkbox"/> A substitute specification. | | |
| 16. <input type="checkbox"/> A power of attorney and/or change of address letter. | | |
| 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. | | |
| 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | |
| 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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| U.S. APPLICATION NO. 10/593664 (37 CFR 1.5) | | INTERNATIONAL APPLICATION NO. PCT/EP2005/002993 | | ATTORNEY'S DOCKET NUMBER 66250(70301) | |
| 20. <input checked="" type="checkbox"/> Other items or information: Verification of English Translation; Copy of PCT Request; Copy of first page of International Publication No. WO 2005/095202; Form PCT/ISA/201; Form PCT/IB/308; Return Receipt Postcard | | | | | |
| The following fees have been submitted | | | | CALCULATIONS | PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) \$300 | | | | \$ 300.00 | |
| 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations \$200 | | | | \$ 200.00 | |
| 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400 All other situations \$500 | | | | \$ 400.00 | |
| TOTAL OF 21, 22 and 23 = | | | | \$ 900.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| - 100 = | /50 = | | x \$250.00 | \$ | |
| Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 22- 20 = | 2 | x 50. | 100.00 | |
| Independent claims | 1 - 3 = | | x | 0.00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 900.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | |
| SUBTOTAL = | | | | \$ 900.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 900.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ 1,040.00 | |
| | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ 2,040.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged | \$ |

10/593664
IAP9/Rec'd PCT/PTO 20 SEP 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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- b. ☒ Please charge my Deposit Account No. 04-1105 in the amount of \$ 2,040.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit
Account No. 04-1105. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information
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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be
filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

George W. Neuner
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P.O. Box 55874
Boston, Massachusetts 02205
(617) 517-5538

CUSTOMER NUMBER: 21874


SIGNATURE

George W. Neuner
NAME

26,964
REGISTRATION NUMBER

Date: September 20, 2006

10/593664
IAP9/Rec'd PCT/PTO 20 SEP 2006

PTO/SB/17 (07-06)

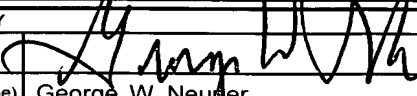
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| Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL For FY 2005 | | Complete if Known | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | Application Number | Not Yet Assigned |
| | | Filing Date | Concurrently Herewith |
| | | First Named Inventor | Jorg Meissner |
| | | Examiner Name | Not Yet Assigned |
| | | Art Unit | N/A |
| TOTAL AMOUNT OF PAYMENT (\$) <u>2040.00</u> | | Attorney Docket No. 66250(70301) | |

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|--|--|
| METHOD OF PAYMENT (check all that apply) | |
| <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____ | |
| <input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>04-1105</u> Deposit Account Name: <u>Edwards Angell Palmer & Dodge LLP</u> | |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) | |
| <input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee | |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments | |

| | | | | | | | |
|---|---|--|---|---------------------------------------|--|----------------------------------|-----------------------|
| FEE CALCULATION | | | | | | | |
| 1. BASIC FILING, SEARCH, AND EXAMINATION FEES | | | | | | | |
| | FILING FEES <small>Small Entity</small> | | SEARCH FEES <small>Small Entity</small> | | EXAMINATION FEES <small>Small Entity</small> | | |
| Application Type | Fee (\$) | Fee (\$) | Fee (\$) | Fee (\$) | Fee (\$) | Fee (\$) | Fees Paid (\$) |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |
| 2. EXCESS CLAIM FEES | | | | | | | |
| | | | | | | Small Entity | |
| | | | | | | Fee (\$) | Fee (\$) |
| Each claim over 20 (including Reissues) | | | | | | 50 | 25 |
| Each independent claim over 3 (including Reissues) | | | | | | 200 | 100 |
| Multiple dependent claims | | | | | | 360 | 180 |
| Total Claims <u>22</u> - 20 = <u>2</u> | | Extra Claims <u>2</u> | Fee (\$) <u>50.</u> | Fee Paid (\$) <u>100.00</u> | | Multiple Dependent Claims | |
| | | | | Fee (\$) _____ | | Fee Paid (\$) _____ | |
| HP = highest number of total claims paid for, if greater than 20. | | | | | | | |
| Indep. Claims <u>1</u> - 3 = _____ | | Extra Claims _____ | Fee (\$) _____ | Fee Paid (\$) _____ | | | |
| HP = highest number of independent claims paid for, if greater than 3. | | | | | | | |
| 3. APPLICATION SIZE FEE | | | | | | | |
| If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | | | | |
| Total Sheets <u>26</u> | Extra Sheets _____ | Number of each additional 50 or fraction thereof _____ / 50 (round up to a whole number) x _____ = _____ | | | Fee (\$) _____ | Fee Paid (\$) _____ | |
| 4. OTHER FEE(S) | | | | | | | |
| | | | | | | Fees Paid (\$) | |
| Non-English Specification, \$130 fee (no small entity discount) | | | | | | | |
| Other (e.g., late filing surcharge): 1631 Basic National Stage fee | | | | | | 300.00 | |
| 1633 National Stage Examination Fee - all other ... | | | | | | 200.00 | |
| 8021 National Stage recording each patent assignment, | | | | | | 1,040.00 | |
| 1642... National Stage Search Fee - search report ... | | | | | | 400.00 | |

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|---|---|-------------------------------|--|
| SUBMITTED BY | | | |
| Signature  | Registration No. (Attorney/Agent) <u>24,944</u> | Telephone <u>617.439.4444</u> | |
| Name (Print/Type) <u>George W. Neuner</u> | Date <u>September 20, 2006</u> | | |

10/593664
IAP9/Rec'd PCT/PTO 20 SEP 2006

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 66250(70301)

Certificate of Express Mailing Under 37 CFR 1.10

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on September 20, 2006
Date



Signature

Helen Murray Tarbi

Typed or printed name of person signing Certificate

Registration Number, if applicable

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Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Fee Transmittal

Transmittal Letter to the United States Designated-Elected Office
English language translation of the International application and
verification

Oath or declaration of the inventor(s)

Information Disclosure Statement

Preliminary Amendment

Application Data Sheet

Copy of PCT Request; Copy of International Publication No. WO

2005/095202; Form PCT/ISA/210; Form PCT/IB/308;

Charge \$~~2~~040.00 to deposit account 04-1105

VERIFICATION

I, Roger Willis, of 3 Merricks Close, Bewdley, Worcs. DY12 2PF,
United Kingdom

do hereby verily declare that the attached document is a true
translation of the PCT application
No. SD 13-200737/ao/17.3.05

For: Swimming aid device

Made by: Roger Willis
3 Merricks Close,
Bewdley,
Worcs. DY12 2PF
United Kingdom

I hereby declare that all statements made herein of my own
knowledge are true and that all statements are made on
information and belief are believed to be true; and further that
these statements are made with the knowledge that willful false
statements and the like so made are punishable by fine or
imprisonment, or both, under section 1001 of Title 18 of the
United States Code and that such willful false statements may
jeopardize the validity of the application or any patent issued
thereon.

Signed this 24.7.06

